

10/570906

VIA Express Mail EV786328815US

Docket Number

36856.1419

JAP20 Rec'd PCT/PTO 06 MAR 2006

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Koji NOSAKA	International Application No.:
Serial No.: Unknown	PCT/JP2005/000275
Filed: Concurrently herewith	International Filing Date:
For: BALANCED SPLITTER	January 13, 2005

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR §1.56, and in recognition of his duty to disclose to the United States Patent and Trademark Office relevant information known to be material to patentability, Applicant herewith submits the attached Form PTO-1449. Reference Nos. 1-14 were cited in the attached International Search Report for PCT Application No. PCT/JP2005/000275.

Reference No. 15 is an additional reference that Applicant is submitting herewith.

Applicant does not submit herewith copies of the U.S. references as this is no longer required.

10/570906

1AP20 Rec'd PCT/PTO 06 MAR 2006

The relevance of Reference No. 16 is discussed on page 2 of the substitute specification filed concurrently herewith.

The relevance of Reference No. 17 is discussed on page 2 of the substitute specification filed concurrently herewith.

The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

Applicant respectfully requests that the cited references be made of record in the subject application.

Respectfully submitted,

Date: March 6, 2006

/Joseph R. Keating #37,368/  
Attorneys for Applicant(s)

Joseph R. Keating  
Registration No. 37,368

Stephen R. Funk  
Registration No. 57,751

**KEATING & BENNETT LLP**  
8180 Greensboro Drive, Suite 850  
Tyson's Corner, VA 22102  
Telephone: (703) 637-1480  
Facsimile: (703) 637-1499

Substitute for form 1449/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number  
Filing Date  
First Named Inventor  
Art Unit  
Examiner Name  
Attorney Docket Number

10/570906  
Koji NOSAKA  
36856.1419

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	5,424,694	06-1995	Maloratsky et al.	
	2	6,448,864	09-2002	Hiroshima et al.	

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code*Number-Kind Code <sup>5</sup> (if known)	Publication Date MM -YYYY	Country of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	3	JP 2000-188218 (with English Abstract & machine translation)	07-2000	JAPAN		X
	4	JP 2003-198221 (with English Abstract & machine translation)	07-2003	JAPAN		X
	5	JP 08-191016 (with English Abstract & machine translation)	07-1996	JAPAN		X
	6	JP 11-261313 (with English Abstract & machine translation)	09-1999	JAPAN		X
	7	JP 2000-278149 (with English Abstract & machine translation)	10-2000	JAPAN		X
	8	JP 2002-217615 (with English Abstract & machine translation)	08-2002	JAPAN		X
	9	JP 2001-211010 (with English Abstract & machine translation)	08-2001	JAPAN		X
	10	JP 10-335911 (with English Abstract & machine translation)	12-1998	JAPAN		X
	11	JP 2002-329611 (with English Abstract & machine translation)	11-2002	JAPAN		X
	12	JP 2002-217036 (with English Abstract & machine translation)	08-2002	JAPAN		X
	13	EP 1 134 834 A1	09-2001	EUROPE		
	14	EP 0 869 574 A2	10-1998	EUROPE		
	15	JP 11-214943 (with English Abstract & machine translation)	08-1999	JAPAN		X
	16	JP 2001-168607 (with English Abstract & machine translation)	06-2001	JAPAN		X
	17	JP 2001-094316 (with English Abstract & machine translation)	04-2001	JAPAN		X

Examiner Signature	Date Considered
--------------------	-----------------

\*Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

<sup>1</sup> Applicant unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Abstract is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.

